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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

In re LENOVO ADWARE
LITIGATION

MDL Case No.: 15-md-02624-RMW

**INITIAL CASE MANAGEMENT
ORDER**

This document relates to:

ALL CASES

The Judicial Panel on Multidistrict Litigation (the “Panel”) has transferred to the undersigned certain actions asserting that defendants installed Superfish software on various models of Lenovo notebook computers which made the computers highly vulnerable to cyberattacks and placed users’ private information and data at risk. As the number and potential complexity of these actions warrant holding a single, coordinated initial case management conference for all actions in In re LENOVO ADWARE LITIGATION, the court hereby orders as follows.

1. Governing Rules and Procedure

The court will be guided by the Manual for Complex Litigation, Fourth (“MCL 4th”), approved by the Judicial Conference of the United States, as well as by the Civil Local Rules of

1 Court for the United States District Court for the Northern District of California (“the Civil Local
2 Rules”), and this court’s standing orders. Counsel are expected to familiarize themselves with the
3 MCL 4th, the Civil Local Rules, and this court’s Standing Orders.

4 **2. Applicability of Order**

5 Prior to the initial case management conference and entry of a comprehensive order
6 governing all further proceedings in this case, the provisions of this order shall govern the practice
7 and procedure in: (1) those actions that were transferred to this court by the Panel; (2) all “tag-
8 along actions” later filed in, removed to, or transferred to this court; and (3) all cases related
9 thereto filed in all divisions of the Northern District of California.

10 **3. Consolidation**

11 The civil actions transferred to this court or the related cases in the Northern District of
12 California are consolidated for pretrial purposes, without prejudice to a motion to sever and
13 coordinate at a later date if appropriate. Any “tag-along actions” later filed in, removed to, or
14 transferred to this court will automatically be consolidated with this action without the necessity of
15 future motions or orders.

16 This consolidation does not constitute a determination that the actions should be
17 consolidated for trial, nor does it have the effect of making any entity a party in any action in
18 which he, she, or it has not been named, served, or added in accordance with the Federal Rules of
19 Civil Procedure.

20 **4. Date of Initial Case Management Conference and Agenda for Conference**

21 The court will hold an initial case management conference August 26, 2015 at 10:30 a.m. in
22 Courtroom 6, Fourth Floor, 280 S. 1st Street, San Jose, California, 95113.

23 Counsel shall be prepared at the conference to suggest procedures that will facilitate the
24 expeditious, economical, just resolution of this litigation. The items listed in MCL 4th §§ 22.6
25 (Case-Management Orders), 22.61 (Initial Orders), 22.62 (Organization of Counsel), and 22.63
26 (Subsequent Case-Management Orders) shall, to the extent applicable, be a part of the agenda for
27 the conference. In addition, specific items on the agenda shall include:

28 a. The filing of a consolidated amended complaint, and the timing for defendants’

1 response;

2 b. Deadline for amendment of the pleadings;

3 c. the scope and timing of discovery, including the creation of a proposed discovery

4 plan;

5 d. a timetable for considering motions, including any class certification motions; and

6 e. any other issues that may affect the efficient progress and resolution of these

7 actions. *See Civil Local Rule 16-9.*

8 Counsel shall confer and seek consensus to the extent possible with respect to the above
9 items on the agenda.

10 If the parties have any suggestions as to any case management orders or additional agenda
11 items, these suggestions shall be filed with the court by one week before the CMC.

12 **5. Appearances for Initial Case Management Conference**

13 Each party represented by counsel shall appear at the initial case management conference
14 through the party's attorney who will have primary responsibility for the party's interest in this
15 litigation.

16 To minimize costs and facilitate a manageable conference, parties with similar interests
17 may agree, to the extent practicable, to have an attending attorney represent the party's interest at
18 the conference. A party will not, by designating an attorney to represent the party's interest at the
19 conference, be precluded from other representation during the litigation, nor will attendance at the
20 conference waive objections to jurisdiction, venue, or service.

21 **6. Preliminary Reports**

22 Counsel will submit to the court at least one week in advance of the initial CMC, a brief
23 written statement indicating their preliminary understanding of the facts involved in the litigation
24 and the critical factual and legal issues. In particular, the parties should highlight any potential
25 factual or legal issues that are unique to their respective cases. These statements will not be filed
26 with the clerk, will not be binding, will not waive claims or defenses, and may not be offered into
27 evidence against a party in later proceedings.

28 Counsel's statement shall also list: (1) all pending motions, if any; and (2) all similar cases

1 pending in state or federal court not among the twenty-seven members of this MDL action, and
2 their current status, to the extent known.

3 **7. Filing and Service**

4 This case is subject to electronic case filing (“ECF”) pursuant to General Order 45 of the
5 United States District Court of the Northern District of California. Section IV(A) of the General
6 Order provides that “[e]ach attorney of record is obligated to become an ECF User and be
7 assigned a user ID and password for access to the system upon notification that the action is
8 subject to ECF.” If he or she has not already done so, counsel shall register forthwith as an ECF
9 User and be issued an ECF User ID and password. Forms and instructions can be found on the
10 court’s website at ecf.cand.uscourts.gov.

11 For any case that is a member case of MDL No. 2624, all documents shall be e-filed in the
12 master case file, No. C-15-md-2624.

13 For any case that is related to MDL No. 2624 (*i.e.*, not a member case), all documents shall
14 be e-filed in the individual related case, unless and until the case becomes an MDL member case.

15 Service of all papers shall be made on each of the attorneys of record through ECF. Service
16 shall be deemed sufficient if made upon all attorneys. The parties shall present to the court at the
17 initial CMC a list of attorneys for purposes of service. Only one attorney or each party separately
18 represented shall be included on such list.

19 **8. Response Extension and Stay of Discovery**

20 Defendants are granted an extension of time for responding by motion or answer to the
21 amended complaint until a date to be set by this court at the initial CMC.

22 In addition, pending the initial case management conference and further orders of this
23 court, any outstanding discovery proceedings are stayed and no further discovery shall be initiated.
24 The time requirements to perform any acts or file any papers pursuant to Rules 26 through 37,
25 Federal Rules of Civil Procedure, are tolled until the initial CMC at which time a discovery
26 schedule will be established.

27 Finally, any pending motions must be renoted pursuant to a date set by further order of
28 this court.

9. Master Case File

The clerk of the court will maintain a master case file under the style In re LENOVO ADWARE LITIGATION and the identification "MDL No. 2624" or "No. C-15-md-2624." When a pleading is intended to apply to all actions subject to this order, this shall be indicated in the caption by the words: "This Document Relates to All Cases." When a pleading is intended to apply to fewer than all cases, this shall be indicated in the caption by the words: "This Document Relates to [individual case(s) identified by case number(s)]."

10. Later Filed Cases

This order shall also apply to related cases later filed in, removed to, or transferred to this court. When an action that properly belongs as part of In re LENOVO ADWARE LITIGATION is either filed after the date of this order in the Northern District of California or transferred here after the date of this order from another court, the clerk of this court shall:

- a. File a copy of this order in the separate file for such action;
- b. Make an appropriate entry on the master docket sheet (No. C-15-md-2624);
- c. Mail to the attorneys for the plaintiff in the newly filed or transferred case a copy of this order; and
- d. Upon the first appearance of any new defendant, mail to the attorneys for the defendant in such newly filed or transferred case a copy of this order.

If there are any disputes as to whether an action should be related to In re LENOVO ADWARE LITIGATION, they must promptly be brought to the court's attention or any objection may be deemed waived.

11. Appearances

Counsel who appeared in a transferor court prior to transfer need not enter an additional appearance before this court. Moreover, attorneys admitted to practice and in good standing in any United States District Court are admitted *pro hac vice* in this litigation, and the requirements of Northern District of California Local Rule 11-3 are waived. Assistance of local counsel is not required.

12. Remand Stipulations

2 In the event that a case is remanded, the parties shall furnish to the clerk of the court a
3 stipulation or designation of the contents of the record and furnish all necessary copies of any
4 pleadings filed so as to enable the transferee court to comply with the order of remand.

13. Preservation of Evidence

6 All parties and their counsel are reminded of their duty to preserve evidence that may be
7 relevant to this action. The duty extends to documents, data, and tangible things in the possession,
8 custody, or control of the parties to this action, and any employees, agents, contractors, carriers,
9 bailees, or other non-parties who possess materials reasonably anticipated to be subject to
10 discovery in this action.

11 "Documents, data, and tangible things" shall be interpreted broadly to include writings,
12 records, files, correspondence, reports, memoranda, calendars, diaries, minutes, electronic
13 messages, voice mail, e-mail, telephone message records or logs, computer and network activity
14 logs, hard drives, backup data, removable computer storage media such as tapes, discs, and cards,
15 printouts, document image files, web pages, databases, spreadsheets, software, books, ledgers,
16 journals, orders, invoices, bills, vouchers, checks statements, worksheets, summaries,
17 compilations, computations, charts, diagrams, graphic presentations, drawings, films, charts,
18 digital or chemical process photographs, video, phonographic, tape, or digital recordings or
19 transcripts thereof, drafts, jottings and notices, studies or drafts of studies, or other similar such
20 material. Information that serves to identify, locate, or link such material, such as file inventories,
21 file folders, indices, and metadata, is also included in this definition.

22 Until the parties reach an agreement on a preservation plan or the court orders otherwise,
23 each party shall take reasonable steps to preserve all documents, data, or tangible things containing
24 information potentially relevant to the subject matter of this litigation. Counsel is under an
25 obligation to the court to exercise all reasonable efforts to identify and notify parties and
26 nonparties, including employees of corporate or institutional parties.

27 14. Filing Discovery Requests

28 In accordance with Rule 5(d) of the Federal Rules of Civil Procedure, discovery requests

1 and responses are not to be filed with the clerk, nor sent to the judge's chambers, except to the
2 extent needed in connection with a motion.

3 **15. Liaison Counsel**

4 Pursuant to the parties' June 18, 2015 stipulation regarding interim class counsel, the court
5 will appoint interim class counsel according to the schedule and criteria agreed upon by the
6 parties. *See Case No. 15-0807, Dkt. Nos. 39, 40.*

7 At the initial case management conference, liaison counsel and/or the parties should be
8 prepared to discuss any additional needs for an organizational structure or any additional matters
9 consistent with the efficient handling of this matter.

10 **16. Lead Counsel**

11 The court intends to appoint a plaintiffs' lead counsel to conduct and coordinate the
12 discovery stage of this litigation and, to the extent possible, pretrial motion practice. Plaintiffs
13 shall meet and confer and attempt to reach a consensus on lead counsel and (if necessary) an
14 organizational structure for management of the litigation. If no consensus is reached, plaintiffs
15 should seek to reach an agreement on the process for the counsel selection of lead counsel.

16 The main criteria for lead counsel shall be:

17 a. willingness and availability to commit to a time-consuming project;
18 b. ability to work cooperatively with others;
19 c. qualifications, including experience in managing complex litigation and knowledge
20 of the subject matter;
21 d. efforts in researching and investigating the claims before the court;
22 e. resources that can be contributed to the litigation; and
23 f. ability to maintain reasonable fees and expenses.

24 Absent agreement of plaintiffs, the court contemplates that lead counsel will have the
25 following responsibilities:

26 a. Discovery

27 (1) Initiate, coordinate, and conduct all pretrial discovery on behalf of plaintiffs
28 in all actions which are consolidated with the instant multi-district litigation.

United States District Court
Northern District of California

- (2) Develop and propose to the court schedules for the commencement, execution, and completion of all discovery on behalf of all plaintiffs.
- (3) Cause to be issued in the name of all plaintiffs the necessary discovery requests, motions, and subpoenas pertaining to any witnesses and documents needed to properly prepare for the pretrial of relevant issues found in the pleadings of this litigation. Similar requests, notices, and subpoenas may be caused to be issued by lead counsel upon written request by an individual attorney in order to assist him or her in the preparation of the pretrial stages of his or her client's claims.
- (4) Conduct all discovery in a coordinated and consolidated manner on behalf of and for the benefit of all plaintiffs. No attorney for a plaintiff may be excluded from attending the examination of witnesses and other proceedings. Such attorney may suggest questions to be posed to deponents through the designated lead counsel provided that such questions are not repetitious.

b. Hearings and Meetings

- (1) Call meetings of counsel for plaintiffs for any appropriate purpose, including coordinating responses to questions of other parties or of the court. Initiate proposals, suggestions, schedules, or joint briefs, and any other appropriate matter(s) pertaining to pretrial proceedings.
- (2) Examine witnesses and introduce evidence at hearings on behalf of plaintiffs.
- (3) Act as spokesperson for all plaintiffs at the pretrial proceedings and in response to inquiries from the court, subject of course to the right of any plaintiff's counsel to present non-repetitive individual or different positions.

c. Miscellaneous

(1) Submit and argue any verbal or written motions presented to the court on behalf of plaintiffs as well as oppose when necessary any motions submitted

1 by defendants or other parties which involve matters within the sphere of
2 the responsibilities of lead counsel.

3 (2) Negotiate and enter into stipulations with defendants regarding this
4 litigation. All stipulations entered into by lead counsel, except for strictly
5 administrative details such as scheduling, must be submitted for court
6 approval and will not be binding until the court has ratified the stipulation.
7 Any attorney not in agreement with a non-administrative stipulation shall
8 file with the court a written objection thereto within one week after he or
9 she knows or reasonably should have reasonably become aware of the
10 stipulation. Failure to object within the term allowed shall be deemed a
11 waiver and the stipulation will automatically be binding on that party.
12 (3) Explore, develop, and pursue all settlement options pertaining to any claim
13 or portion thereof of any case filed in this litigation.
14 (4) Maintain adequate files of all pretrial matters and have them available,
15 under reasonable terms and conditions, for examination by plaintiffs or their
16 attorneys.
17 (5) Prepare periodic status reports summarizing lead counsel's work and
18 progress. These reports shall be submitted to plaintiffs' liaison counsel who
19 will promptly distribute copies to the other plaintiffs' attorneys.
20 (6) Perform any tasks necessary and proper for lead counsel to accomplish its
21 responsibilities as defined by the court's orders.
22 (7) Perform such other functions as may be expressly authorized by further
23 orders of this court.

24 Reimbursement for costs and/or fees for services will be set at a time and in a manner
25 established by the court after due notice to all counsel and after a hearing.

26 **17. Communication with the Court**

27 Unless otherwise ordered by this court, all substantive communications with the court shall
28 be in writing and e-filed. The court recognizes that cooperation by and among plaintiffs' counsel

1 and by and among defendants' counsel is essential for the orderly and expeditious resolution of
2 this litigation. The communication of information among and between plaintiffs' counsel and
3 among and between defendants' counsel shall be not deemed a waiver of the attorney-client
4 privilege or the protection afforded attorneys' work product, and cooperative efforts contemplated
5 above shall in no way be used against any plaintiff by any defendant or against any defendant by
6 any plaintiff.

7 Nothing in this provision shall be construed to limit the rights of any party to assert the
8 attorney-client privilege or attorney work product doctrine.

9 **IT IS SO ORDERED.**

10 Dated: June 24, 2015



11 Ronald M. Whyte
12 United States District Judge